



INSURANCE FRAUD INVESTIGATION DIVISION
Kentucky Department of Insurance
909 Leawood Drive, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
March 2012

<u>Fraud Statistics</u>	<u>Month/March</u>	<u>YTD</u>
Total Referrals Received.....	149	541
Closed Referrals.....	203	437
Open Cases (Investigations – includes prior years)		265
Assigned Cases (Investigations)	36	60
Closed Cases (Investigations)	7	26
Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor.....	1	2
Charges (27 felony, 0 misdemeanor)	27	34
Convictions (2 felony, 2 misdemeanor)	4	42
Restitution Ordered.....	\$ 112,274.77	134,489.10
Restitution Agreed	\$ 0.00	0.00
Restitution Total.....	\$ 112,274.77	134,489.10
Investigation Expenses to Division		
Awarded.....	\$ 2,811.75	16,219.25
Collected	\$ 428.10	1,437.17
Administrative Subpoenas Issued	0	4
Non-Licensed Individuals	0	4
Licensed (active/inactive individuals)	0	0
Subpoenas Received	8	11

Convictions Activity

Janelle Thompson – Thompson's husband died on May 2, 2002. He was receiving a monthly annuity payment as the result of a court settlement. Thompson did not report his death and continued to receive his monthly annuity payment. She was convicted of two counts of fraudulent insurance acts greater than \$500 and was sentenced to five years in prison on each count, probated for five years. Thompson is required to pay restitution to Security Life Insurance Company in the amount of \$112,000 and court costs in the amount of \$130.

Mary E. Davis – On or about June 23, 2010, in Kenton County, Davis knowingly presented a fake or altered proof of insurance document. Davis entered a plea of guilty to fraudulent insurance acts less than \$500. She was fined \$1,000 and ordered to pay court costs in the amount of \$159.

Rosa Brock – On or about May 7, 2010, Brock's daughter-in-law was driving Brock's vehicle and was involved in an accident. The daughter-in-law contacted Brock to notify her of the accident. Brock contacted Safe Auto Insurance Company to obtain insurance on the vehicle, claiming the vehicle did not have any damage. Brock later admitted she added the coverage after the accident occurred. Brock entered a guilty plea to the charge of fraudulent insurance acts less than \$500 and was sentenced to 12 months in jail, probated for a period of 12 months. She was fined \$1,000, with \$500 suspended. Brock also was ordered to pay court costs of \$133.